

Policy regarding treatment of Inactive / Dormant accounts

Policy on Inactive / Dormant Accounts

This policy defines the treatment of Dormant / Inactive accounts of the clients maintained with us.

Definition of Dormant / Inactive accounts

Where no transaction has taken place in client's account (Trading / Demat) during the last 24 months from the date of last transaction, then it will be considered as inactive / dormant account.

Transaction in Dormant / Inactive accounts

The Dormant accounts identified based on the above criteria shall be locked and client shall not permit to carry out any fresh transactions in such account. The clients account would be reactivated only after undertaking proper due diligence process and fulfillment of such conditions as may be deemed fit.

Procedure to activate the locked client transaction in Dormant / Inactive account

- 1) An e-mail request to reactive the account and process the transaction. Such email request shall be sent only from the email ID of the client registered with us.
- 2) A written request letter to reactive the account and process the transaction duly signed by the client is submitted.
- 3) A Telephonic request to reactive the account and process the transaction after proper verification of the client. Further above request shall be processed only after the client provides additional identification as required.

The above stated policy may vary depending on various rules, regulations and bye laws as may be prescribed by SEBI, exchanges or any other authority or as per internal policy Ghanshyam Shares & Stock Brokers Pvt. Ltd. from time to time. This Policy for dormant accounts is over and above the

transaction monitoring in Dormant account as per Anti-Money laundering Policy of the Company.